## VU Formale Methoden der Informatik

# Block 3: Formal Verification of Software

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#### 1 Exercise 1

We want to show that the two given programs are semantically equivalent, which means that both programs deliver the same outputstate on the same inputstate  $\sigma$ . First, we analyze one iteration of the first program:

$$(\text{while } e \text{ do } p \text{ od}, \sigma) \Rightarrow \begin{cases} (p; \text{while } e \text{ do } p \text{ od}, \sigma) & \text{if } [e]\sigma \neq 0 \\ \sigma & \text{if } [e]\sigma = 0 \end{cases}$$

The execution of one iteration results into two possible states (depending on the result of  $[e]\sigma$ ). For the second program we get:

$$(\text{if } e \text{ then } p; \text{while } e \text{ do } p \text{ od else skip fi}, \sigma) \Rightarrow \begin{cases} (p; \text{while } e \text{ do } p \text{ od}, \sigma) & \text{if } [e]\sigma \neq 0 \\ (\text{skip}, \sigma) & \text{if } [e]\sigma = 0 \end{cases}$$

Obviously, both programs ends up in the same programstate after one iteration (since  $(skip, \sigma) \Rightarrow \sigma$ ). Now we know that both programs have the same behaviour on any inputstate  $\sigma$  and thus they are semantically equivalent.

#### 2 Exercise 2

The pre- and post-condition are obvious:  $\{F : x = x_0 \land y = y_0\}$  and  $\{G : y = x_0 \land x = y_0\}$ 

(a) Hoare calculus:

$$\begin{aligned} \frac{F \Rightarrow H'[x/x-y] \quad \{H'[x/x-y]\}x = x - y\{H'\} \ (as)}{\{F\}x = x - y\{H'\} \ (2)} \ (lc) \\ \frac{\{F\}x = x - y\{H'\} \ (2)}{\{F\}x = x - y; y = x + y\{H\} \ (1)} \\ \frac{\{F\}x = x - y; y = x + y\{H\} \ (1)}{\{F\}x = x - y; y = x + y\{H\} \ (1)} \ (sc) \\ \frac{\{F\}x = x - y; y = x + y\{H\} \ (1)}{\{F\}x = x - y; y = x + y; y = y - x\{G\} \ (sc)} \ (lc) \end{aligned}$$

Now we need proper formulas for H and H', so that  $F \Rightarrow H'[x/x-y]$ ,  $H' \Rightarrow H[y/x+y]$ and  $H \Rightarrow G[x/y-x]$  become vaild. We choose  $H' \equiv H[y/x+y]$  and  $H \equiv G[x/y-x]$ . It remains to show  $F \Rightarrow H'[x/x-y]$ , i.e.:

$$F \Rightarrow G[x/y - x][y/x + y][x/x - y]$$

$$(x = x_0 \land y = y_0) \Rightarrow (y = x_0 \land x = y_0)[x/y - x][y/x + y][x/x - y]$$

$$(x = x_0 \land y = y_0) \Rightarrow (y = x_0 \land y - x = y_0)[y/x + y][x/x - y]$$

$$(x = x_0 \land y = y_0) \Rightarrow (x + y = x_0 \land x + y - x = y_0)[x/x - y]$$

$$(x = x_0 \land y = y_0) \Rightarrow (x - y + y = x_0 \land x - y + y - x + y = y_0)$$

$$(x = x_0 \land y = y_0) \Rightarrow (x = x_0 \land y = y_0)$$

So, this implication is also valid, therefore the swap program is partially/totally correct.

(b) The annotation calculus allows us to write down the proof much cleaner:

$$\{ F: x = x_0 \land y = y_0 \} \{ 3: G[x/y - x][y/x + y][x/x - y] \} x := x - y \{ 2: G[x/y - x][y/x + y] \} y := x + y \{ 1: G[x/y - x] \} x := y - x \{ G: y = x_0 \land x = y_0 \}$$

 $F \Rightarrow 3$  remains to show, which was already shown in (a).

(c) Weakest pre-condition.

$$\{ H'': wp(x := x - y; y := x + y; x := y - x), G \}$$
  

$$x := x - y$$
  

$$\{ H': wp(y := x + y; x := y - x), G \}$$
  

$$y := x + y$$
  

$$\{ H: wp(x := y - x), G \}$$
  

$$x := y - x$$
  

$$\{ G: y = x_0 \land x = y_0 \}$$

According to the definition wp(v := e, F) = F[v/e] in the slides, H'' yields into G[x/y - x][y/x + y][x/x - y], which is equal to our post-condition (as shown in (a)).

(d) Strongest post-condition:

$$\{ F: x = x_0 \land y = y_0 \} x := x - y \{ H: sp(x := x - y, F) \} y := x + y \{ H': sp(x := x + y, H) \} x := y - x \{ H'': sp(x := y - x, H') \}$$

H'' evaluates to (due to  $sp(v:=e,F)=\exists v'(F[v/v']\wedge v=e[v/v'])):$ 

$$\{ H'': sp(x = y - x, sp(y = x + y, sp(x = x - y, F))) \}$$
  

$$\Rightarrow \{ H'': sp(x = y - x, sp(y = x + y, \{ \exists x'(x' = x_0 \land y = y_0 \land x = x' - y\})) \}$$
  

$$\Rightarrow \{ H'': sp(x = y - x, \{ \exists y'(\exists x'(x' = x_0 \land y' = y_0 \land x = x' - y') \land y = x + y'\})) \}$$
  

$$\Rightarrow \{ H'': \exists x''(\exists y'(\exists x'(x' = x_0 \land y' = y_0 \land x'' = x' - y') \land y = x'' + y') \land x = y - x'')) \}$$

Evaluating this expression results into:

$$\{H'': y = x'' + y' \qquad \land x = y - x''\} \Rightarrow \{H'': y = x' - y' + y' \qquad \land x = y - x' - y'\} \qquad \text{due to } x'' = x' - y' \Rightarrow \{H'': y = x' \qquad \land x = y - x' - y'\} \\ \Rightarrow \{H'': y = x' \qquad \land x = y'\} \qquad \text{because of } y = x' \\ \Rightarrow \{H'': y = x_0 \qquad \land x = y_0\} \qquad \text{since } x' = x_0 \text{ and } y' = y_0$$

## 3 Exercise 3

In the following, these abbreviations are used:

$$\begin{split} B &:= d \cdot m \leq n \\ Inv &:= 0 \leq a < b \leq n + 1 \land a \cdot m \leq n < b \cdot m \end{split}$$

First, we annotate the program by applying several rules:

$$\begin{array}{ll} \{1: m > 0 \land n \geq 0 \} \\ \{2: \operatorname{Inv}[b/n + 1][a/0] \} & \operatorname{as} \uparrow \\ a := 0; \\ \{3: \operatorname{Inv}[b/n + 1] \} & \operatorname{as} \uparrow \\ b := n + 1; \\ \{4: \operatorname{Inv} \} & \operatorname{wh} \\ & \operatorname{while} a + 1 \neq b \operatorname{do} \\ \{5: \operatorname{Inv} \land a + 1 \neq b \} & \operatorname{wh} \\ \{6: (B \Rightarrow \operatorname{Inv}[a/d]) \land (\neg B \Rightarrow \operatorname{Inv}[b/d])[d/(a + b)/2] \} & \operatorname{as} \uparrow \\ d := (a + b)/2; \\ \{7: (B \Rightarrow \operatorname{Inv}[a/d]) \land (\neg B \Rightarrow \operatorname{Inv}[b/d]) \} & \operatorname{if} \uparrow \\ & \operatorname{if} d \cdot m \leq n \operatorname{then} \\ \{8: \operatorname{Inv}[a/d] \} & \operatorname{as} \uparrow \\ a := d; \\ \{9: \operatorname{Inv} \} & \operatorname{fi} \uparrow \\ & \operatorname{else} \\ \{10: \operatorname{Inv}[b/d] \} & \operatorname{as} \uparrow \\ b := d; \\ \{11: \operatorname{Inv} \} & \operatorname{fi} \uparrow \\ & \operatorname{fi} \\ \{12: \operatorname{Inv} \} & \operatorname{wh} \\ \operatorname{od} \\ \{13: \operatorname{Inv} \land a + 1 = b \} & \operatorname{wh} \\ \{14: a \cdot m \leq n < (a + 1) \cdot m \} \end{array}$$

Now it remains to show that  $1 \Rightarrow 2$ ,  $13 \Rightarrow 14$  and  $5 \Rightarrow 6$  are indeed valid.

 $1 \Rightarrow 2$ :

$$\begin{split} m &> 0 \land n \ge 0 \Rightarrow \operatorname{Inv}[b/n+1][a/0] \\ m &> 0 \land n \ge 0 \Rightarrow 0 \le a < b \le n+1 \land a \cdot m \le n < b \cdot m[b/n+1][a/0] \\ m &> 0 \land n \ge 0 \Rightarrow 0 \le 0 < n+1 \le n+1 \land 0 \cdot m \le n < (n+1) \cdot m \\ 0 \le n \land 0 < m \Rightarrow 0 < n+1 \land 0 \le n < (n+1) \cdot m \end{split}$$

now we split the formula:

$$\begin{split} n &\leq 0 \Rightarrow 0 < n+1 \quad \checkmark \\ 0 &< m \Rightarrow n < (n+1) \cdot m \\ 0 &< m \Rightarrow n+1 \leq (n+1) \cdot m \\ 0 &< m \Rightarrow 1 \leq m \quad \checkmark \end{split}$$

 $13 \Rightarrow 14$ :

 $0 \le a < b \le n + 1 \land a \cdot m \le n < b \cdot m \land a + 1 = b \Rightarrow a \cdot m \le n < (a + 1) \cdot m$  $0 \le a < a + 1 \le n + 1 \land \underbrace{a \cdot m \le n < (a + 1) \cdot m}_{\checkmark} \land a + 1 = b \Rightarrow \underbrace{a \cdot m \le n < (a + 1) \cdot m}_{\checkmark} \checkmark$ 

 $5 \Rightarrow 6$ :

We split the proof into to parts (if and else), in order to make it more readable. This is valid, because of the relation

$$A \Rightarrow ((B \Rightarrow C) \land (D \Rightarrow E)) \equiv ((A \land B) \Rightarrow C) \land ((A \land D) \Rightarrow E)$$

#### if

$$0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a + 1 \neq b$$
  

$$\Rightarrow d \cdot m \leq n \Rightarrow (0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m)[a/d][d/(a+b)/2]$$
  
substitute *a* with *d* and after that *d* with  $\frac{a+b}{2}$  on the right side  

$$0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a + 1 \neq b$$
  

$$\Rightarrow \frac{a+b}{2} \cdot m \leq n \Rightarrow (0 \leq \frac{a+b}{2} < b \leq n+1 \wedge \frac{a+b}{2} \cdot m \leq n < b \cdot m)$$
  
move  $\frac{a+b}{2} \cdot m \leq n$  to the left side  

$$0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a + 1 \neq b \wedge \frac{a+b}{2} \cdot m \leq n$$
  

$$\Rightarrow \underbrace{0 \leq \frac{a+b}{2} < b \leq n+1}_{C1} \wedge \underbrace{\frac{a+b}{2} \cdot m \leq n < b \cdot m}_{C2}$$

At this point, we introduce

$$\begin{array}{ll} a < b & a < b \\ a + b < b + b & a + a < a + b \\ a + b < 2b & 2a < a + b \\ \hline \frac{a + b}{2} < b & a < \frac{a + b}{2} \end{array} \text{ because of } a + 1 \neq b \text{ this is valid} \\ \Rightarrow a < \frac{a + b}{2} < b & \dots A_1 \end{array}$$

Now we show that the right side evaluates to true if the left side evaluates to true too:

- $0 \le a < b \le n + 1 \Rightarrow c_1$  because of  $A_1 \checkmark$
- $a \cdot m \le n < b \cdot m \Rightarrow c_2$  because of  $A_1 \checkmark$

else

Now we show that the right side evaluates to true if the left side evaluates to true too, again using  $A_1$ :

- $0 \le a < b \le n + 1 \Rightarrow c'_1$  because of  $A_1 \checkmark$
- $a \cdot m \le n < b \cdot m \Rightarrow c'_2$  because of  $A_1 \checkmark$

#### 3.1 Termination

Since termination is tied to the loop condition,  $a + 1 \neq b$  is a good starting point for the bound function t. Therefore, b - a - 1 might be a suitable bound function.

while 
$$a + 1 \neq b$$
 do  
{ 1:  $Inv \land a + 1 \neq b \land 0 \leq t = t_0$  }  
{ 2:  $((B \Rightarrow 0 \leq t < t_0[a/d]) \land (\neg B \Rightarrow 0 \leq t < t_0[b/d]))[d/\frac{a+b}{2}]$  }  
 $d := (a + b)/2;$   
{ 3:  $(B \Rightarrow 0 \leq t < t_0[a/d]) \land (\neg B \Rightarrow 0 \leq t < t_0[b/d])$  }  
if  $d \cdot m \leq n$  then  
{ 4:  $0 \leq t < t_0[a/d]$  }  
 $a := d;$   
{ 5:  $0 \leq t < t_0$  }  
else  
{ 6:  $0 \leq t < t_0[b/d]$  }  
 $b := d;$   
{ 7:  $0 \leq t < t_0$  }  
fi  
{ 8:  $0 \leq t < t_0$  }  
od

It remains to show that  $1 \Rightarrow 2$  is valid. Again, we split the proof into two parts (if and else).

$$1 \Rightarrow 2$$
:  
if

$$\begin{array}{l} 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \\ \Rightarrow (d \cdot m \leq n \Rightarrow (0 \leq b-a-1 < t_{0})[a/d])[d/\frac{a+b}{2}] \\ 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \\ \Rightarrow \frac{a+b}{2} \cdot m \leq n \Rightarrow 0 \leq b-\frac{a+b}{2}-1 < t_{0} \\ 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \wedge \frac{a+b}{2} \cdot m \leq n \\ \Rightarrow 0 \leq b-\frac{a+b}{2}-1 < t_{0} \\ 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \wedge \frac{a+b}{2} \cdot m \leq n \\ \Rightarrow 0 \leq b-\frac{a+b}{2}-1 < t_{0} \\ \end{array}$$

else

$$\begin{array}{l} 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \\ \Rightarrow (d \cdot m > n \Rightarrow (0 \leq b-a-1 < t_{0})[b/d])[d/\frac{a+b}{2}] \\ 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \\ \Rightarrow \frac{a+b}{2} \cdot m > n \Rightarrow 0 \leq \frac{a+b}{2} - a - 1 < t_{0} \\ 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \wedge \frac{a+b}{2} \cdot m > n \\ \Rightarrow 0 \leq \frac{a+b}{2} - a - 1 < t_{0} \\ 0 \leq a < b \leq n+1 \wedge a \cdot m \leq n < b \cdot m \wedge a+1 \neq b \wedge 0 \leq t_{0} \wedge \frac{a+b}{2} \cdot m > n \\ \Rightarrow 0 \leq \frac{a+b-2a}{2} - 1 < t_{0} \quad \checkmark \quad \text{since } a \geq 0 \Rightarrow t \text{ decreases} \end{array}$$

#### 4 Exercise 4

By looking at sp(if e then p else q fi, F) and the if  $\downarrow$  rule

 $\{F\}$  if e then ... else  $\mapsto \{F\}$  if e then  $\{F \land e\}$  ... else  $\{F \land \neg e\}$  if  $\downarrow$  plus some intuition, we get

- if:  $\{e \wedge F\}p\{\operatorname{sp}(p, \{e \wedge F\})\}$
- else:  $\{\neg e \land F\}p\{\operatorname{sp}(q, \{\neg e \land F\})\}$

as strongest post-condition for each branch. By applying the dual-fi rule

$$\{F\}$$
 else ...  $\{G\} \mapsto \{F\}$  else ...  $\{G\}$  fi  $\{F \lor G\}$  fi  $\downarrow$ 

we can conclude:

$$sp(if e then p else q fi, F) = \{sp(p, \{e \land F\}) \lor sp(q, \{\neg e \land F\})\}$$

#### 5 Exercise 5

 $\{F\} p \{\mathsf{true}\}$ 

- (a)  $\{F: x \neq 0\}$  so p may not terminate, depending on whether x is even or odd.
- (b)  $\{F: x = 0\} \Rightarrow$  the program always terminate.

#### $\{F\} p \{false\}$

- (a)  $\{F: x = 1\}$  so the program doesn't terminate obviously.
- (b) No formula, since the post-condition is false, which means the program never terminate.

#### {true} p {F}

- (a)  $\{F: x = 0\}$  when the program terminates, the post-condition is fulfilled.
- (b) No formula, because we don't know anything about x, therefore we can end up an infinite loop.

#### $\{ false \} p \{ F \}$

- (a)  $\{F: x = 0\}$  even when p would terminate, it would fulfil the post-condition then.
- (b) No formula, since the pre-condition is false anyway and therefore termination won't be guaranteed.

## 6 Exercise 6

An assertion  $\{F\}p\{G\}$  is totally correct, if

- whenever p starts in an F-State, then p terminates and stops in a G-State.
- $\forall \sigma \in \mathcal{S} : [F]\sigma \Rightarrow \operatorname{def}([p]\sigma) \land [G][p]\sigma$

Looking at the premise  $\{F \land e\}p\{G\}$ , which must be totally correct, we can rewrite it according to the definition above as (we use  $\sigma: F$ ) as an abbreviation for " $\sigma$  is a defined *F*-State", i.e., for " $\sigma$  is a defined state and the formula *F* is true in  $\sigma$ ."):

$$\begin{aligned} \forall \sigma \in \mathcal{S} : [F \land e] \sigma \Rightarrow \operatorname{def}([p] \sigma) \land [G][p] \sigma \\ \forall \sigma \in \mathcal{S} : [F] \sigma \land [e] \sigma \Rightarrow \operatorname{def}([p] \sigma) \land [G][p] \sigma \\ \forall \sigma \in \mathcal{S} : [F] \sigma \land [e] \sigma \Rightarrow \boxed{[p] \sigma : G} \\ \forall \sigma \in \mathcal{S} : [F] \sigma \land [e] \sigma \neq 0 \Rightarrow \boxed{[p] \sigma : G} \\ \forall \sigma \in \mathcal{S} : [F] \sigma \land [e] \sigma \neq 0 \Rightarrow \boxed{[p] \sigma : G} \\ \forall \sigma \in \mathcal{S} : [F] \sigma \Rightarrow [e] \sigma \neq 0 \Rightarrow \boxed{[p] \sigma : G} \end{aligned}$$
 since  $[e] \sigma$  is a boolean expression  $\forall \sigma \in \mathcal{S} : [F] \sigma \Rightarrow [e] \sigma \neq 0 \Rightarrow \boxed{[p] \sigma : G}$  moving it to the right side

Similar,  $\{F \land \neg e\}q\{G\}$  results into

$$\forall \sigma \in \mathcal{S} : [F]\sigma \Rightarrow [e]\sigma = 0 \Rightarrow \boxed{[q]\sigma : G}$$

Now we take a look at the if statement for TPL (natural semantics):

$$[\text{if } e \text{ then } p \text{ else } q \text{ fi}]\sigma \Rightarrow \begin{cases} [p]\sigma & \text{if } [e]\sigma \neq 0\\ [q]\sigma & \text{if } [e]\sigma = 0 \end{cases}$$

This rule allows us to rewrite the both statements above such as:

$$\begin{aligned} \forall \sigma \in \mathcal{S} : [F]\sigma \Rightarrow \boxed{[\text{if } e \text{ then } p \text{ else } q \text{ fi}]\sigma : G} \\ \forall \sigma \in \mathcal{S} : [F]\sigma \Rightarrow \det([\text{if } e \text{ then } p \text{ else } q \text{ fi}]\sigma) \land [G][\text{if } e \text{ then } p \text{ else } q \text{ fi}]\sigma \\ \Rightarrow \{F\} \text{if } e \text{ then } p \text{ else } q \text{ fi}\{G\} \quad \checkmark \quad \text{by using the definition of totally correctness} \end{aligned}$$

#### 7 Exercise 7

By looking at the post-condition  $\{0 \le y^2 \le x < (y+1)^2\}$ , we can easily identify an invariant for our program, since we know that y must be at least 0 (otherwise we would get complex numbers):  $\{0 \le y^2 \le x\}$ . Due to the wh-rule

while 
$$e \text{ do } \dots \text{ od } \mapsto \{Inv\}$$
 while  $e \text{ do}\{Inv \land e\} \dots \{Inv\} \text{ od } \{Inv \land \neg e\}$  wh

we know, that the post-condition of a while consists of the conjunction of the invariant and the negated loop-condition. Therefore

$$\neg e = x < (y+1)^2$$
$$e = x \ge (y+1)^2$$

is the loop-condition. In order to guarantee termination, we consider the loop condition. We see, that y have to increase over time. Also this is the closest condition which we can get, since we're operating on integer numbers.

Thus, a simple algorithm would be (although it isn't quite efficient):

$$\begin{array}{l} \left\{ \begin{array}{l} 2 \colon x \geq 0 \end{array} \right\} \\ y := 0; \\ \left\{ \begin{array}{l} Inv \colon 0 \leq y^2 \leq x \end{array} \right\} \\ \text{while } x \geq (y+1)^2 \text{ do} \\ y := y+1; \\ \text{od} \\ \left\{ 1 \colon 0 \leq y^2 \leq x < (y+1)^2 \end{array} \right\} \end{array}$$